

APPLICATION FOR LISTING A U.S. FOREST OFFSET PROJECT				
OPR Staff Use Only	Date Application Received:	OPR Tracking Number:	Date Application Reviewed:	OPR Staff Use Only
PART I. ENTITY APPLYING FOR LISTING				
Is this form being submitted by the Offset Project Operator (OPO) or by the Authorized Project Designee (APD)?				☐ OPO ☒ APD
Notes: 1. The person completing this form should be an OPO/APD employee. 2. If the APD is submitting this form, the OPO should submit the form Designation of Authorized Project Designee simultaneously.				
Name of Person Completing Form: Brian Shillinglaw		Organization, if applicable: Forest Carbon Partners, L.P.		
Date Form Completed: June 27, 2013		Phone Number: 415-321-3300	Email Address: bshillinglaw@newforests-us.com	
PART II. OFFSET PROJECT INFORMATION				
Offset Project Name: Yurok Tribe CKGG Improved Forest Management Project				
Offset Project Commencement Date: 03/31/2012		First Reporting Period Start Date: 03/31/2012		First Reporting Period End Date: 03/31/2013
Forest Offset Project Type:	☐ Reforestation ☒ Improved Forest Management ☐ Avoided Conversion			
PART III. OPO/APD INFORMATION				
Part III.A OPO				
OPO Name: The Yurok Tribe			OPO's CITSS ID#: CA1266	
Mailing Address: 190 Klamath Boulevard		City: Klamath	State: CA	Zip: 95548
Contact Person: John Corbett		Phone Number: 707-482-1350	Email Address: JohnC@yuroktribe.nsn.us	
Part III.B APD (if applicable) ☐ No APD/Not Applicable				
APD Name: Forest Carbon Partners, L.P.			APD's CITSS ID#: CA1403	
Mailing Address: 735 Montgomery Street #400		City: San Francisco	State: CA	Zip: 94111
Contact Person: Brian Shillinglaw		Phone Number: 415-321-3300	Email Address: bshillinglaw@newforests-us.com	
Part IV. LAND OWNERSHIP				
1. Is the Offset Project Operator (OPO) the owner in fee for the offset project area? (See Part V.C for follow-up documentation or explanation.)			☒ Yes ☐ No	
2. Does the offset project occur on public or private lands?			☒ Private ☐ Public	
3. Does the offset project occur on any the following categories of land?				
a. Land that is owned by, or subject to, an ownership or possessory interest of a Tribe			a. ☒ Yes ☐ No	
b. Land that is "Indian lands" of a Tribe, as defined by 25 U.S.C. §81(a)(1)			b. ☐ Yes ☒ No	
c. Land that is owned by any person, entity, or Tribe, within the external borders of such Indian lands			c. ☒ Yes ☐ No	

Part V. ATTACHMENTS FOR ALL U.S. FOREST LISTINGS

Part V.A Items Required for All Projects

On separate attached sheets of paper, provide the following:

1. A description of the management activities that will lead to increased carbon stocks in the Project Area, compared to the baseline.
2. An explanation and justification of the offset project commencement date (provided in Part II). As part of the explanation, specify the action(s) that identify the offset project commencement date.
3. A description of how the offset project meets (or will meet) the definition of "Natural Forest Management," including the following:
 - a. Composition of native species
 - b. Distribution of age classes / sustainable management
 - c. Structural elements (standing and lying dead wood)(Refer to section 3.8.2 of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011)
4. Descriptions and maps of the Project Area boundaries that include the following:
 - a. Governing jurisdictions, and latitude/longitude coordinates
 - b. Public and private roads (map)
 - c. Towns (map)
 - d. Major watercourses (4th order or greater), water bodies, and watershed description (map)
 - e. Topography (map)
 - f. Townships, ranges, and sections or latitude and longitude (map)
 - g. Existing land cover and land use (description with optional map)
 - h. Forest vegetation types (description with optional map)
 - i. Site classes (description with optional map)
 - j. Land pressures and climate zone/classification (description with optional map)
 - k. Historical land uses, current zoning, and projected land use within the project area
 - l. A georeferenced shape file (or other electronic file that can be read in a geographic information system) that clearly identifies the project area and boundaries. The file may constitute the required map if it includes the required map information list above.
5. Identification of what assessment area or areas contain lands within the Project Area
 - a. Include how many acres of project lands fall within each assessment area
 - b. Include a value for total project area acreage
6. General description of the forest conditions within the Project Area
 - a. Species (tree) composition
 - b. Age class distribution
 - c. Management history
7. Indication of whether the project will employ a Qualified Conservation Easement. If so, include the date the Qualified Conservation Easement was or will be recorded, the terms that affect forest management within the easement, and provide a copy of the Qualified Conservation Easement to ARB.

Part V.B Required Items Deferrable for Reforestation Projects

All projects are required to submit the following items, but Reforestation projects may defer these items until the second site-visit verification. Please mark the box for each item being deferred.

- | | |
|--------------------------------|---|
| ☐ defer | 1. A description of the inventory methodology for each of the carbon pools included in the Forest Project's Offset Project Boundary. The inventory methodology must describe the information required in Appendix A.3 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011). |
| ☐ defer | 2. A description of the calculation methodologies for determining metric tons per acre for each of the carbon pools included in the Offset Project Data Report. |
| ☐ defer | 3. A modeling plan, following the requirements and methods in Appendix B, Section B.3. |
| ☐ defer | 4. A diagram of the final baseline incorporating all required carbon stocks. |
| ☐ defer | 5. A summary of the inventory of carbon stocks for each carbon pool. |
| ☐ defer | 6. A summary of inventory confidence statistics. |
| ☐ defer | 7. A description and estimate of the Forest Project's baseline onsite carbon stocks. Baseline onsite carbon stocks must be portrayed in a graph depicting time in the x-axis and metric tons CO ₂ e in the y-axis. The graph should be supported with written characterizations that explain any annual changes in the baseline carbon stocks over time. |
| ☐ defer | 8. An estimate of carbon that will be stored long-term in harvested wood products in the baseline. |
| ☐ defer | 9. Calculation of the offset project's reversal rating and contribution to the Forest Buffer |

	Account.
Part V.C Required for Some Projects (Conditional)	
On separate attached sheets of paper, provide the following items as required. If an item is not required, check the N/A (not applicable) box for that item.	
☐ N/A ☒ N/A ☒ N/A ☐ N/A ☐ N/A ☐ N/A	1. If the answer in Part IV.A was "yes," documentation (e.g., deed of trust, title report) showing the OPO's ownership interest in the property and its interest in the trees and standing timber on the property. 2. If the answer in Part IV.A was "no," explain how the entity identified as the OPO has the right to undertake and list the project and provide documentation supporting the explanation. 3. If the Forest Project is located on public land, a description and copies of the documentation demonstrating explicit approval of the offset project's management activities and baseline, including any public vetting processes necessary to evaluate management and policy decisions concerning the offset project. 4. A description and copies of documentation demonstrating that the land within the Project Area is owned by a tribe or private entities if the Forest Project is located on the any of the following: a. Land that is owned by, or subject to an ownership of possessory interest of a Tribe b. Land that is "Indian lands" of a Tribe, as defined by 25 U.S.C. §81(a)(1) c. Land that is owned by any person, entity, or Tribe, within the external borders of such Indian lands 5. A copy of the limited waiver of sovereign immunity between ARB and the governing body of the Tribe 6. If commercial harvesting is either planned or ongoing within the Project Area, a description of how the Forest Owner satisfies one of the three requirements for employing and demonstrating sustainable long-term harvesting practices on all of its forest landholdings. (Refer to section 3.8.1 of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011)
Part VI. ADDITIONAL ATTACHMENTS BY PROJECT TYPE	
Each of the project types requires some additional attached information for project listing. Provide the additional information as required.	
Part VI.A Required for Reforestation Projects	
On separate attached sheets of paper, provide the following:	
1. An explanation of how the Project Lands, at the time of offset project commencement, meets the eligibility requirements of (a) less than 10 percent tree canopy cover for a minimum of 10 years; or (b) subject to a significant disturbance that has removed at least 20 percent of the land's above-ground live biomass. The explanation should include why the forest was out of forest cover or a description of the disturbance if a natural significant disturbance occurred. 2. For a Reforestation Project that occurs on land that has undergone a recent Significant Disturbance, indicate the eligibility scenario pertaining to the project site as identified in Appendix E, or a description of how the Forest Project occurs on a type of land for which the Forest Owner has not historically engaged in or allowed timber harvesting. [☐ N/A] 3. A qualitative characterization of baseline conditions, including an assessment of the likely vegetative conditions and activities that would have occurred in the absence of the project, taking into consideration any laws, statutes, regulations, or other legal mandates that would encourage or require reforestation on the Project Area. The qualitative assessment shall include an assessment of the commercial value of trees within the project area over the next 30 years. 4. List any laws, statutes, regulations, or other legal mandates that would encourage or require reforestation on the project area. [☐ N/A]	
Part VI.B Required for Improved Forest Management Projects on Private Lands	
On separate attached sheets of paper, provide the following:	
1. Documentation that the Project Area has greater than 10 percent tree canopy cover. 2. A determination of how the Forest Project's initial standing live carbon stocks compare to Common Practice, as required in Section 6.2.1 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011). 3. If the Forest Project's initial standing live carbon stocks are below Common Practice, a determination of the "High Stocking Reference" for the Project Area. To determine the High Stocking Reference,	

changes in the Project Area's live-tree carbon stocks over the preceding 10 years must be documented. [☒ N/A]

- a. Include an affidavit testifying that the inventory depicted over the past 10 years is reasonably accurate.
- b. Include a summary of volume harvested over the past 10 years.
4. Documentation of any and all legal constraints affecting forest management activities on the Project Area. The documentation of legal constraints must include:
 - a. A description of each constraint (refer to Section 6.2.1.2 of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
 - b. A narrative that describes the effect of the constraint on forest management.
 - c. A description of the modeling techniques used to simulate the effects of the constraint.
5. A demonstration that the growth and harvesting regime assumed for the baseline is financially feasible following the requirements of Section 6.2.1.3 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).

Part VI.C Required for Improved Forest Management Projects on Public Lands

On separate attached sheets of paper, provide the following:

1. Documentation demonstrating that the offset project takes place on land that has greater than 10 percent tree canopy cover.
2. A projection of future changes to Project Area forest carbon stocks by extrapolating from historical trends; and anticipating how current public policy will affect onsite carbon stocks per the requirements of Section 6.2.2 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
3. An explanation of how current public policy will affect onsite carbon stocks and how, the baseline modeling incorporates constraints imposed by all applicable statutes, regulations, policies, plans, and Activity-Based Funding.

Part VI.D Required for Avoided Conversion Projects

On separate attached sheets of paper, provide the following:

1. Documentation demonstrating the planned or completed dedicating of the land in the Project Area to continuous forest cover through a Qualified Conservation Easement or transfer to public ownership.
2. Documentation demonstrating that the type of anticipated land use conversion is legally permissible per the requirements of Section 3.1.1.3 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
3. A description of the Project Area was determined, following the requirements in Section 4 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
4. A full copy of the appraisal that was prepared for the Project Area per the requirements of Section 3.2.1.3 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
5. A description of the highest value alternative land use identified in the appraisal.
6. An estimate the rate of conversion and removal of onsite carbon stocks per the requirements in Section 6.3.1 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
7. A comparison of the fair market value of the anticipated alternative land use for the Project Area per the requirements of Section 3.2.1.3 (of the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011).
8. Where the anticipated alternative land use is commercial, residential or agricultural use, indicate the maximum slope of the project area.
Maximum slope _____ or ☐ N/A
9. Where the anticipated alternative land use is mining, describe the extent of mineral resources existing in the Project Area.
10. Where the anticipated alternative land use is commercial, residential or recreational use, indicate:
 - a. The proximity of the Project Area to metropolitan areas;
 - b. The proximity of the Project Area to grocery and fuel services and accessibility of those services;
 - c. Population growth (people per year) within 180 miles of the Project Area.

PART VII. ADDITIONAL QUESTIONS

1. Have any GHG reductions or GHG removal enhancements associated with the Project Lands ever been listed or registered with, or otherwise claimed by, another registry or program, or sold to a third party prior to listing?	☐ Yes ☒ No
1.A. Have any lands within the Project Area ever been listed or registered with an offset project registry or program in the past?	☐ Yes ☒ No

1.B. Have GHG emission reductions or GHG removal enhancements associated with lands within the Project Area been credited or claimed for the purpose of GHG mitigation or reduction goals, whether in a voluntary or regulatory context?				☐ Yes ☒ No	
If yes to any of the three questions above, identify the registry or program (vintage and Reporting Period(s)) below:					
Registry/Program:		Reporting Period(s):		Vintage(s):	
				Credits Issued:	
2. Is this offset project being implemented and conducted as the result of any law, statute, regulation, court order, or other legally binding mandate? If yes, explain.				☐ Yes ☒ No	
3. Does this offset project employ broadcast fertilization?				☐ Yes ☒ No	
Part VIII. ATTESTATIONS AND OPO/APD SIGNATURE					
 Initial	I certify under penalty of perjury under the laws of the State of California the GHG reductions and/or GHG removal enhancements for				
	Project Name:		Crediting Period Start Date:		Crediting Period End Date:
	Yurok Tribe CKGG Improved Forest Management Project		03/31/2012		3/31/2013
will be measured in accordance with the Compliance Offset Protocol U.S. Forest Projects, October 20, 2011, and all information required to be submitted to ARB is true, accurate, and complete.					
 Initial	I understand I am voluntarily participating in the California Greenhouse Gas Cap-and-Trade Program under title 17, article 5, and by doing so, I am now subject to all regulatory requirements and enforcement mechanisms of this program and subject myself to the jurisdiction of California as the exclusive venue to resolve any and all disputes arising from the enforcement of provisions in this article.				
	I understand that the offset project activity and implementation of the offset project must be in accordance with all applicable local, regional, and national environmental and health and safety laws that apply to the offset project location. I understand that offset projects are not eligible to receive ARB or registry offset credits for GHG reductions and GHG removal enhancements that are not in compliance with the requirements of this Article.				
 Initial	In signing this form, I certify under penalty of perjury of the laws of California that the information contained in this form is true, accurate and complete. I further certify that I am duly authorized to represent and legally bind the Offset Project Operator (OPO) or Authorized Project Designee (APD) on all matters related to this form.				
	SIGNATURE:		PRINTED NAME:		
		Brian Shillinglaw			
TITLE:		DATE:			
Manager, Carbon Investments and Policy New Forests Inc. (Controls New Forests Advisory, Inc., the General Partner of Forest Carbon Partners, L.P.)		June 27, 2013			